# **Conformational Isomerism in and Binding Properties to Alkali-Metals and an Ammonium Salt of O-Alkylated Homooxacalix[3]arenes.**

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**Abstract:** 7,15,23-Tri-tert-butyl-25,26,27-trihydroxy-2,3,10,1 1,18,19-hexahomo-3,11,19 trioxacalix[3]arene (1H3) was tri-O-alkylated with alkyl halides (RX: R=Me, Et, n-Pr, and n-Bu) in the presence of various bases and the products  $(1R_3)$  were analyzed by HPLC and <sup>1</sup>H NMR spectroscopy. It was found that (i) ring inversion which occurs through the oxygen-through-theannulus rotation is allowed for  $1Me_3$ ,  $1Et_3$ , and  $1Pr_3$  whereas it is inhibited for  $1Bu_3$ , (ii) the cone/partial-cone equilibrium in 1Et<sub>3</sub> and 1Pr<sub>3</sub> is predominantly inclined to partial-cone, indicating the thermodynamic stability of partial-cone conformers, and (iii) in the product distribution of lBu3 partial-cone is yielded in preference for cone, indicating the kinetic preference for partial-cone. From the analysis of the reaction intermediates the possible reaction routes to cone- and partial-cone-lBu3 are discussed.  $1R<sub>3</sub>$  showed the selectivity toward K<sup>+</sup> among alkali metal cations and the cone conformers had the extractability (Ex%) higher than the partial-cone conformers. Cone-lR3 showed the high affinity for BuNH<sub>3</sub><sup>+</sup> because of (averaged) C<sub>3</sub> symmetry common to both cone-lR<sub>3</sub> host and BuNH3+ guest.

### **Introduction**

In 1983, Dhawan and Gutsche<sup>1</sup> found that 2,6-bis(hydroxymethyl)-4-tert-butylphenol in refluxing xylene affords a cyclic ether, 7,15,23-tri-tert-butyl-25,26,27-trihydroxy-2,3,10,11,18,19-hexahomo-3,11,19trioxacalix[3]arene (lH3) in a low yield along with large amounts of linear ethers. In comparison to structural characteristics of a calixarene family, this compound attracted our interest because of the following five reasons:  $(i)$  compound  $1H_3$  has a cavity composed of a 18-membered ring, which is comparable with that of calix[4]arene composed of a 16-membered ring, (ii) the rate of ring inversion for  $1H_3$  is much faster than that for calix<sup>[4]</sup>arenes because of the flexible ethereal linkages,  $1-3$  (iii) there are only two possible conformations, cone and partial-cone in O-alkylation products in contrast to four possible conformations in calix $[4]$ arenes, so that the conformational isomerism can be much more simplified, (iv) the ethereal ring oxygens may act cooperatively with the phenolic oxygens upon the binding of metal ions, and (v) the basic structure has (averaged)  $C_3$ symmetry which is particularly useful for the design of cyclic metal-ligands.

More recently, we examined the influence of  $O$ -substituents on the conformational isomerism of calix[4]arenes in detail.<sup>4-8</sup> Through these studies, we established that interconversion between conformers,

which occurs through the oxygen-through-the-annulus rotation, can be sterically inhibited by  $O$ -substituents bulkier than ethyl group (e.g., n-propyl group: Pr).<sup>4-7</sup> By using n-propyl bromide as an alkylation reagent, for example, one can thus synthesize a variety of conformational isomers<sup>4-8</sup> (including optically-active isomers<sup>9-13</sup>) from calix[4]arenes. To use compound **1** as a starting material for molecular design of such functionalized macrocycles, one must fully understand the conformational characteristics of O-alkylated 1H3\_ In this paper, we report systematic introduction of O-substituents  $(R)$  into  $1H_3$ , the possible reaction routes, the relative stability of the final products, interconversion between cone and partial-cone, etc. in detail.



### **Experimental**

#### **Materials**

Compound 1H<sub>3</sub> was prepared according to the method in the literature.<sup>1,14</sup>

# **7,15,23-Tri-tert-buty1-25,26,27-trimetboxy-2,3,10,11,18,19-bexabomo-3,11,19-**

**trioxacalix[3]arene (lMe3).** Compound lH3 (500 mg, 0.868 mmol) was treated with oil-dispersed NaH (60%, 564 mg, 13.9 mmol) in DMF (30 ml) at 70 °C for 1 h. To this mixture was added methyl iodide (2.81) ml, 45.1 mmol) dropwise and the reaction mixture was stirred at 70  $^{\circ}$ C for 2 h. Water (30 ml) was added to decompose remaining NaH and the mixture was concentrated to dryness. The residue was extracted with ether. The ether solution was washed with water until the aqueous phase became pH 7 and dried over MgS04. The solution was evaporated to dryness and the residue was recrystallized from methanol: mp  $145.5$ -147.5 °C, yield 32%; IR (nujol) no VOH, VC-O 1210 cm<sup>-1; 1</sup>H NMR (CDCl3, -50 °C)  $\delta$  1.28 (t-Bu, s, 27H), 3.13 (OCH3, s, 9H), 4.49 (OCH2, s, 12H), 7.28 (ArH, s, 6H). Anal. Calcd for C3gH5406: C, 75.69; H, 8.80%. Found: C, 75.99; H, 9.01%. The singlet resonances for OCH3, OCH<sub>2</sub>, and ArH indicate that the ring is subject to the free rotation.

**7,15,23-Tri-tert-buty1-25,26,27-triethoxy-2,3,10,11,18,19-hexahomo-3,11,19 trioxacalix[3]arene (lEt3).** This compound was synthesized from lH3 and ethyl iodide in a similar manner to 1Me3: mp 155.3-157.7 °C, yield 70%; IR (nujol) no  $VOH$ ,  $VCA$  1205 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl3, -50 °C)  $\delta$ 0.15 and 1.15 (CH<sub>3</sub>, t each (J = 6.8, 6.9 Hz), 3H and 6H), 1.31 and 1.36 (t-Bu, s, 18H and 9H), 1.84, 3.24, and 3.71 (OCH2 in ethyls, q (J = 6.8 Hz), m, and m, 2H each), 4.1-4.9 (OCH2 in ring, m, 12H), 7.34 (ArH, m, 6H). Anal. Calcd for C42H6006: C, 76.33; H, 9.15%. Found: C, 76.73; H, 9.51%. The two CH2CH3 peaks in a 1:2 integral intensity ratio indicate that lEt3 takes a partial-cone conformation.

# **7,15,23-Tri-tert-buty1-25,26,27-tripropoxy-2,3,10,11,18,19-hexahomo-3,11,19-**

**trioxacalix**[3]arene (1Pr3). This compound was synthesized from  $1H_3$  and propyl iodide in a manner similar to 1Me3: mp 146.5-148.7 °C, yield 77%; IR (nujol) no  $VOH$ ,  $VCAO$  1200 cm<sup>-1</sup>. The <sup>1</sup>H NMR spectrum (C2D2Cl4, 30 "C) showed that the product is a mixture of two **conformers.** Cone-lPr3: 6 1.06 (r-Bu, s, 27H), 1.08 (CH<sub>3</sub>, t (J = 8.0 Hz), 9H), 1.81 (CCH<sub>2</sub>C, m, 6H), 3.45 (OCH<sub>2</sub> in propyls, t, 6H), 4.1-4.8 (OCH<sub>2</sub> in ring, not clear because of overlap with partial-cone-lPr3), 6.93 (ArH, s, 6H). Partial-cone-lPr3: 6 0.37 and 0.88 (CH3, teach (J = 7.5, 7.5 Hz), 3H and 6H), 0.77 and 1.51 (CCH2C, m each, 2H and 4H), 1.26 and 1.33  $(t-Bu, s$  each, 18H and 9H), 2.50, 3.38, and 3.47 (OCH<sub>2</sub> in ethyl, t  $(J = 5.9$  Hz), m, and m, 2H each), 4.1-4.8 (OCH2 in ring, not clear because of overlap with cone-lPr3), 7.24 (ArH, m, 6H). The molar ratio estimated from the integral intensity was cone:partial-cone =  $1.0:5.0$ . Anal. Calcd for C45H66O6: C, 76.88; H, 9.46%. Found: C, 76.80; H, 9.40%.

**25,26,27-Tributoxy-7,13,15-tri-tert-butyl-2,3,10,11,18,19-hexahomo-3,11,19 trioxacalix**[3]arene (1Bu3). This compound was synthesized from 1H3 and n-butyl iodide in a manner similar to 1Me3: mp 119.2-120.5 °C, yield 63%; IR (KBr) no vOH, vC-O 1200 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl3, 25 °C)  $\delta$  0.64-0.70 (CH3CH<sub>2</sub> in inverted phenyl, m, 5H), 0.90 (CH<sub>3</sub> t (J = 7.2 Hz), 6H), 1.27-1.36 (t-Bu and CH<sub>2</sub>CH<sub>3</sub>, m, 31H), 1.58-1.54 (OCCH<sub>2</sub>, m, 6H), 2.62 (OCH<sub>2</sub> in butyl in inverted phenyl, t  $(J = 6.8$  Hz), 2H), 3.47 (OCH<sub>2</sub> in butyl, t (J = 6.8 Hz), 4H), 4.26-4.77 (OCH<sub>2</sub> in ring, m, 12H), 7.23, 7.29, and 7.34 (ArH, d, d  $(J = 2.5, 2.5$  Hz), and s, 2H each). Anal. Calcd for C48H72O6: C, 77.38; H, 9.74%. Found: C, 77.49; H, 9.99%. The splitting pattern in <sup>1</sup>H NMR shows that the isolated compound is partial-cone-1Bu3. The reaction of lH3 and n-butyl iodide was conducted in the presence of t-BuOK (instead of NaH). The HPLC analysis showed that the product was a mixture of partial-cone-lBu3 and an unknown compound. The unknown compound was isolated by a preparative TLC method (silica gel, chloroform): mp 163.0-164.2 "C, yield 15%; Mass (positive SIMS, m-nitrobenzyl alcohol matrix)  $(M+Na)^+$  767; IR (KBr) no vOH, vC-O 1200 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl3, 25 °C)  $\delta$  1.02 (CH3, t (J = 7.3 Hz), 9H), 1.07 (t-Bu, s, 27H), 1.52-1.60 (CH2CH3, m, 6H), 1.74-1.82 (OCCH<sub>2</sub>, m, 6H), 3.58 (OCH<sub>2</sub> in butyls, t (J = 6.6 Hz), 6H), 4.60 (OCH<sub>2</sub> in ring, s, 12H), 6.96 (ArH, s, 6H). Anal. Calcd for C48H7206: C, 77.38; H, 9.74%. Found: C, 76.43; H, 9.76%. The high symmetry observed for the 1H NMR spectrum shows that the unknown compound **is cone-lBu3.** 

**26,27-Dibutoxy-7,15,23-tri-tert-butyl-25-hydroxy-2,3,10,11,18,19-hexahomo-3,11,19 trioxacalix[3]arene (lHBu2).** Compound lH3 (500 mg, 0.868 mmol) was treated with oil-dispersed NaH (60%, 70 mg, 1.74 mmol) in DMF (30 ml) at 70 °C for 1h. To this mixture was added butyl iodide (0.22 ml, 1.91 mmol) and the reaction mixture was stirred at 70  $^{\circ}$ C for 2h. Water (30 ml) was added and the precipitate formed was recovered by filteration. The raw product was purified by recrystallization from methanol: mp 148.6-150.5 °C, yield 52%; IR (KBr)  $VOH$  3400 cm<sup>-1</sup>,  $VCAO$  1200 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl3, 25) °C)  $\delta$  0.68 (CH<sub>3</sub>, t (J = 7.2 Hz), 6H), 0.95-1.31 (CCH<sub>2</sub>CH<sub>2</sub>C<sub>,</sub> m, 8H), 1.28 and 1.37 (t-Bu, s each, 9H and 18H), 3.06, 3.10, 3.32, and 3.36 (ArOCH2, teach (J = 6.2, 6.2, 6.8, 6.8 Hz), 1H each), 4.25, 4.39, 4.44, 4.63, 4.69, and 4.69 (ArCH<sub>2</sub>O, d each (J = 11.5, 10.5, 13.2, 11.5, 10.5, 13.2 Hz), 2H each), 6.96 (OH, s, 1H), 7.18, 7.28, and 7.52 (ArH, s, d, and d  $(J = 2.5, 2.5$  Hz), 2H each). Anal. Calcd for C44H64O6: C, 76.70; H, 9.36%. Found: C, 76.66; H, 9.30%. The rotation of unmodified OH group is still allowed, so that two n-butyl groups are regarded to be equivalent both in a cone and a partial-cone conformation. Therefore, one cannot specify the conformation from the  $<sup>1</sup>H$  NMR spectrum. To differentiate these two conformations we</sup> introduced a benzyl group into the OH group to inhibit the rotation. Compound lHBu2 (50 mg, 0.073 mmol)

was treated with oil-dispersed NaH (60%, 5.8 mg, 0.15 mmol) in DMF (15 ml) at 70 °C for 1 h. To this mixture was added benzyl bromide (0.03 ml, 0.22 mmol) and the reaction mixture was stirred at 70 "C for 1 h. Water (30 ml) was added and the product was extracted with ether. The ether solution was dried over MgSO4 and concentrated to dryness. The residue was recrystallized from methanol: mp 132.9-134.2 °C, yield 53%; IR (KBr) no  $VCH_3$ ,  $VCA_1$ ,  $VCA_2$  1200 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDC13, 25 °C)  $\delta$  0.67-0.76 (CH3CH<sub>2</sub>, m, 10H), 1.01-1.26 (CCH2C, m, 4H), 1.26, 1.28, and 1.29 (t-Bu, s, 9H each), 2.59 and 3.28-3.37 (ArOCH2C, m each, 2H each), 4.19-4.72 (ArCH20 and ArOCH2Ar, m, 14H), 7.17-7.34 (ArH, m, 11H). The three unequivalent t-Bu peaks support the partial-cone conformation. Since the oxygen-through-the-annulus rotation is inhibited (see Results and Discussion), the precursor lHBu2 adopts a partial-cone conformation in which the butyl groups are placed to the opposite **side.** 

## Solvent Extraction.

The method of two-phase solvent extraction was described previously.<sup>7</sup> We here used an aqueous phase  $(5 \text{ ml}; \text{[M+Pic]} = 0.25 \text{ mM}, \text{[MOH]} = 0.10 \text{ M}, \text{and MC1}$  (0.50 M) for alkali metal cations and  $[n-BuNH3+Pic]$  $= 7.0$  X 10<sup>-5</sup> M for *n*-BuNH<sub>3</sub><sup>+</sup>) and a dichloromethane phase (5 ml; [calixarene] = 2.5 mM for *n*-BuNH<sub>3</sub><sup>+</sup>).

## Miscellaneous

In O-alkylation of lH3, the progress of the reaction was monitored by an HPLC method: column, Zorbax ODS; mobile phase, chloroform: methanol =  $1:8 \frac{\nu}{\nu}$ . For the TLC separation, silica gel and chloroform were used unless otherwise stated.  $\rm{^{1}H}$  NMR spectra were measured with a JEOL GX-400 NMR apparatus unless otherwise stated.

### **Results and Discussion**

**Inhibition of Ring Inversion by O-Substituents.** It is known that four different conformers (cone, partial-cone, 1,2-alternate, and 1,3-alternate) can exist in conformationally-immobile calix $[4]$ arenes.<sup>4-</sup>  $8,15$  In 1R<sub>3</sub>, on the other hand, only cone and partial-cone can exist. Thus, one can considerably simplify the conformational controversy in the  $1R_3$  system. Figure 1 shows the temperature-dependent <sup>1</sup>H NMR spectra for the ArCH<sub>2</sub>OCH<sub>2</sub>Ar methylene protons. **1**Me<sub>3</sub> gave a singlet resonance at -50  $\sim$  50 °C although the peak became somewhat broad at -50 "C. The result indicates that the methoxy groups in **lMe3** rotate rapidly through the annulus. The ArCH<sub>2</sub>OCH<sub>2</sub>Ar methylene protons in 1Et3 appeared as sharp multiple peaks at -50 °C. With the temperature rise the peaks became broad and finally coalesced at around 50 "C. At low temperature region CH3 and CH<sub>2</sub> protons in the ethyl groups appear in a 1:2 integral intensity ratio. This supports the view that 1Et<sub>3</sub> predominantly exists as a partial-cone conformation.\* The results indicate that ring inversion occurs in the speed of the NMR time-scale.

The 1~ NMR spectrum of **lPr3** indicated that the product was a mixture of cone and partial-cone *(ca.* 1:6). As the shape of the <sup>1</sup>H NMR spectrum was scarcely changed by the temperature rise (up to 100 °C), we first considered that the propyl groups are bulky enough to inhibit the oxygen-through-the-annulus rotation of lR3. We thus isolated the two conformers by a preparative TLC method. The HPLC analysis manifested, however,

<sup>\*</sup> In the <sup>1</sup>H NMR spectrum at -50 °C very weak concomitant signals are observed. Provided that they arise from cone-lEt3, the concentration is about 1 mol%.



Figure 1. Partial <sup>1</sup>H NMR spectra for the ArCH<sub>2</sub>OCH<sub>2</sub>Ar methylene protons in 1R<sub>3</sub>: solvent, CDCl<sub>3</sub> for 1Me<sub>3</sub> and 1Et<sub>3</sub> and Cl<sub>2</sub>CDCDCl<sub>2</sub> for 1Pr<sub>3</sub>.

that the peak intensity ratio is time-dependent and the final ratio is cone:partial-cone  $= 1:6$ , both from cone-1Pr3 and from partial-cone-lPr3. These findings reveal that (i) the rotation of lPr3 is still allowed but the speed is much slower than the NMR time-scale and (ii) the conformer distribution of 1:6 is reached under the thermodynamic control.

Here, we synthesized 1Bu<sub>3</sub> in order to suppress the rotation by bulkier O-substituents. We separated cone-1Bu3 and partial-cone-1Bu3 by a preparative TLC method and heated each conformer at 100 °C for 5 h (solvent 1,1,2,2-tetrachloroethane). The HPLC analysis showed that no isomerization takes place under the condition. The results teach us that to isolate conformers stably from 1R3 one has to employ an O-substituent bulkier than propyl groups.

The influence of 0-substituents on the oxygen-through-the-annulus rotation of lR3 is compared with that in calix[4]arenes in Table 1. It is known that in the calix[4]arenes the ethyl group brings forth the steric hindrance for the rotation and the propyl group is bulky enough to inhibit the rotation.<sup>7</sup> In 1R3, on the other hand, the propyl group only brings forth some steric hindrance and the rotation is inhibited by the further bulkier butyl group. The results consistently reveal that the rotation inhibition in lR3 is more difficult than that in calix[4]arene: in other words, the inner cavity of lR3 is apparently larger than that of calix[4]atene. The difference is attributed to two structural characteristics of  $\text{IR3: } i.e.,$  (i) the ring size of  $\text{IR3: } (18\text{-membered ring})$ is greater than that of calix[4]arene (16-membered ring) and (ii) the ring of lR3 is more flexible than that of calix[4]atene because of three ethereal linkages.

$O$ -Substituent	Calix <sup>[4]</sup> arene	Homooxacalix[3]arene			
Me	Mobile, takes place on the NMR time-scale	Mobile faster than the NMR time-scale $(T_C)$			
	$(T_c = 60 °C)$	$<$ -50 °C)			
Et	Immobile at room temperature but rotate at	Mobile, takes place on the NMR time-scale			
	high temperature	$(T_c = 50 °C)$			
Pr	Immobile	Mobile but slower than the NMR time-			
		scale			
Bu	Immobile	Immobile			

Table **1.** Influence of 0-substituents on the oxygen-through-the-annulus rotation in calix[4]arenes and homooxacalix[3]arenesa

a The results for calix[4]arene are cited from Ref. 7.

**Partial-cone - Cone Isomerism. Since the cone - partial-cone isomerism** of lPr3 can be conveniently followed by <sup>1</sup>H NMR spectroscopy, we determined the ratio as a function of temperature (Table 2). It is seen from Table 2 that the percentage of partial-cone-1Pr3 increases with increasing temperature. The corresponding van't Hoff plot is shown in Figure 2. From the least-squares computation ( $r = 0.96$ ) we obtained  $\Delta H = -0.94$ kcal mol<sup>-1</sup>,  $\Delta S = -6.6$  e.u., and  $\Delta G298 = 1.02$  kcal mol<sup>-1</sup>. These thermodynamic parameters imply that partialcone to cone isomerization accompanies the favorable  $\Delta H$  decrease and the unfavorable  $\Delta S$  increase: that is, the relative stability of partial-cone-1Pr3 (and probably, also of partial-cone-1Et3) arises from the  $\Delta S$  term.

We previously determined the thermodynamic parameters for the partial-cone - cone equilibrium of 25,26,27,28-tetramethoxycalix[4]arene:  $\Delta H = 1.15$  kcal mol<sup>-1</sup>,  $\Delta S = 2.78$  e.u., and  $\Delta G_298 = 0.32$  kcal  $mol<sup>-1</sup>1<sup>6</sup>$  These parameters imply that the partial-cone to cone isomerization accompanies the unfavorable  $\Delta H$ 

**increase. and the favorable AS increase: that is, the relative stability of the partial-cone conformer is rather based on the AH term. Namely, the factors supporting the stability** of the partial-cone conformer are different mechanistically between the calix[4]arene and the homotrioxacalix[3]arene. The difference in the thermodynamics should be related to the difference in the ring structure, but the detail is not well understood. **Anyhow, these two compounds show a contrastive temperature-dependence: in 25,26,27,28 tetramethoxycalix[4]arene cone increases with increasing temperature whereas in** lPr3 cone decreases with increasing temperature.



**Table 2. Partial-cone - cone ratio of 1Pr3 and equilibrium constants** $(K)^a$ 



a Solvent Cl<sub>2</sub>CDCDCl<sub>2</sub>,  $K = [cone] / [partial-cone]$ .



Figure 2. van't Hoff plot for the partial-cone - cone equilibrium of 1Pr3.

**Influence of Metal Cations in Base on the Conformer Distribution.** We previously found for calix[4]arenes that the conformer distribution is sensitively affected by metal cations in base. $4-7$  It was shown that template metal cations such as  $\text{Na}^+$  which strongly interact with calix[4]arenes suppress the rotation of phenyl units, giving rise to less-rotated conformers (such as cone and partial-cone) whereas nontemplate metal cations such as  $Cs<sup>+</sup>$  which scarcely interact with calix[4]arenes cannot suppress the rotation of phenyl units, giving rise to rotated conformers (such as 1,2- and 1,3-alternate). Here, we examined the influence of metal cations present in the used base on the conformer distribution of lBu3. The results are summarized in Table 3.

It is seen from Table 3 that  $O$ -butylation of  $1H_3$  predominantly yields partial-cone-1Bu3. For example, partial-cone-1Bu3 was obtained quantitatively or nearly quantitatively in DMF in the presence of NaH, K2CO3, or Cs<sub>2</sub>CO<sub>3</sub>. The results suggest that being different from the metal template effect operative in  $O$ -alkylation of calix[4]arene, this reaction is scarcely affected by the metal template effect. Probably, the lH3 ring is so flexible that the  $O^- \cdots M^+$  interaction is not strong enough to hold three  $O^-$  anions in the same side of the ring. After much trial and error, we eventually found that cone-lBu3 results in a significant yield when the strong base containing  $K^+$  (e.g., t-BuOK and K but not K<sub>2</sub>CO<sub>3</sub>) is used. It is still difficult to explain why only this kind of base yields cone-lBu3. We will discuss this problem later again.

The reaction route from  $1H_3$  to 1Bu<sub>3</sub> can be illustrated as in Figure 3. In 1HBu<sub>2</sub> the NMR signals for  $t$ -Bu groups appear as two singlet peaks at room temperature. If the inversion for the unmodified phenol unit is inhibited,  $t$ -Bu groups for  $1HBu_2$ , as well as the O-benzylated product of  $1HBu_2$ , should give three singlet peaks (see experimental section). This result indicates that ring inversion between partial-cone conformations rapidly takes place and the signal in the butoxy benzene unit should appear as an averaged peak. Therefore, the inversion for the unmodified phenol unit is allowed, as mentioned previously for calix[4]arenes.<sup>6,7</sup> Hence, the isolable conformational isomer does not exist in 1H<sub>2</sub>Bu. In 1HBu<sub>2</sub>, in contrast, cone-1HBu<sub>2</sub> and partial-conelHBu2 can exist and cone-lBu3 results only from cone-lHBu2 whereas partial-cone-lBu3 results from both cone-1HBu<sub>2</sub> and partial-cone-1HBu<sub>2</sub>. In other words, cone-1Bu<sub>3</sub> cannot be found unless 1HBu<sub>2</sub> contains the cone conformer. The HPLC analysis of 1HBu<sub>2</sub> indicated that in the reaction mixture obtained in the presence of NaH, K2CO3, or Cs2CO3 a peak for partial-cone-1HBu<sub>2</sub> is detectable but a peak for cone-1HBu<sub>2</sub> is not. The result indicates that the conformation to yield partial-cone-lBu3 is already determined when the second butyl group enters and the path  $1H_2Bu \rightarrow cone-1HBu_2 \rightarrow partial-cone-1Bu_3$  scarcely contributes to the formation of partial-cone-lBu3. In the reaction mixture obtained in the presence of t-BuOK or K, on the other hand, we could detect a small peak attributable to cone-1HBu<sub>2</sub>. This suggests that the formation of cone-1Bu<sub>3</sub> should be rationalized in relation to the role of  $K^+$  ion in the step from  $1H_2Bu$  to cone-1HBu<sub>2</sub>. As described later in two-phase solvent extraction of alkali picrates, both cone-1Bu<sub>3</sub> and partial-cone-1Bu<sub>3</sub> show the extraction order of  $K^+ > Cs^+ > Na^+ > Li^+$ . Ex% for cone-1Bu3 is higher for  $K^+$  and  $Cs^+$  whereas Ex% for partial-cone-1Bu<sub>3</sub> is higher for Na<sup>+</sup>. This trend is in good agreement with the conformer distribution in Table 3: i.e., partial-cone-1Bu3 as a major product plus cone-1Bu3 as a minor product result in the presence of Cs2CO3, K2CO3, t-BuOK, and K whereas only partial-cone-lBu3 results in the presence of NaH. The results imply that  $K^+$  and Cs<sup>+</sup> favorably interact with three phenolic oxygens arranged in the same side whereas Na<sup>+</sup> favorably interacts with three phenolic oxygens across the ring. Provided that these interaction modes are extended to the reaction step from  $1H_2Bu$  to  $1HBu$ , the oxide anions will be held in the same side in the presence of  $K^+$  or

 $Cs<sup>+</sup>$  whereas they will be placed to the opposite side in the presence of Na<sup>+</sup> (Figure 4). These conformational preferences well explain the final conformer distribution in lBu3.

<b>Base</b>	Solvent	Equiv.	Time	<u>.</u> Distribution/%					
(equiv.)		of $n$ -Bul	/h	1H <sub>3</sub>	1H <sub>2</sub> B	1HBu	1Bu3		
					u	2			
							Cone	Partial-cone	
NaH(30)	<b>DMF</b>	30	5	$\Omega$	$\bf{0}$	$\bf{0}$	0	100	
NaH(3)	<b>DMF</b>	3	20	$\Omega$	$\bf{0}$	42 <sup>b</sup>	$\bf{0}$	58	
NaH(3)	<b>THF</b>	3	24	100	$\bf{0}$	0	0	0	
$Cs_2CO_3(20)$	<b>DMF</b>	20	5	$\bf{0}$	$\bf{0}$	$\bf{0}$	3	97	
Cs2CO3(3)	<b>DMF</b>	3	20	$\bf{0}$	$\bf{0}$	55 <sup>b</sup>	$\mathbf{1}$	44	
K2CO <sub>3</sub> (20)	<b>DMF</b>	20	20	$\bf{0}$	0	$\bf{0}$		99	
$t$ -BuOK $(20)$	<b>DMF</b>	20	20	$\bf{0}$	0	$\bf{0}$	24	76	
$t-BuOK(3)$	<b>DMF</b>	3	20	4	17	18 <sup>c</sup>	11	50	
K(20)	<b>DMF</b>	20	5	47	14	19 <sup>c</sup>	5	15	

Table 3. Influence of reaction conditions on the conformer distribution of 1Bu<sub>3</sub><sup>a</sup>

a Reaction temperature, 70  $^{\circ}$ C in DMF and reflux in THF.

b In HPLC analysis only partial-cone-lHBu2 was detected.

c In HPLC analysis the small peak for cone-lHBu2 was detected in addition to the large peak for pattial cone-1HBu<sub>2</sub>.



Figure 3. Reaction route from 1H3 to 1Bu3.



**Figure 4.** Interactions of  $1H_2Bu$  with K<sup>+</sup> or Cs<sup>+</sup> to yield a cone (A) and of  $1H_2Bu$  with Na<sup>+</sup> to y partial-cone (B).

**Two-Phase Solvent Extraction and NMR Spectra of Metal Complexes.** To estimate the selectivity of ionophoric cavities composed on homotrioxacalix[3]arene, we carried out two-phase sc extraction with conformationally-mobile lEt3 and conformationally-immobile cone-lBu3 and partial-1Bu3. As shown in Table 4, the cone isomers show  $K<sup>+</sup>$  selectivity, indicating that the size of the ionor cavity is comparable with that of  $K^+$  ion. Although partial-cone-1Bu3 also shows  $K^+$  selectivity, the E lower than those for the cone isomers. To obtain further insights into the metal-binding mode we measun <sup>1</sup>H NMR spectra in the absence and the presence of potassium picrate (Figure 5). It is seen from Figure 5 t cone-lBu3 the 6 values for the ArOCH2 and ArH protons largely shift to lower magnetic field, supportii interaction between  $K^+$  and the ethereal oxygens. In the absence of metal cations the ArCH $2O$  meth protons appear as an AB pattern with a small  $\delta$  difference (4.59 and 4.61 ppm). In the presence of  $K^+$ , t other hand, H<sub>ax</sub> shifts to lower magnetic field ( $\delta$  4.81 ppm) and H<sub>eq</sub> shifts to higher magnetic field ( $\delta$ ppm).\* In calix[4]arenes, it is established that  $\Delta\delta$  between H<sub>ax</sub> and H<sub>eq</sub> is generally 0.9 ± 0.2 ppm for a s in the regular cone conformation and becomes smaller with the "flattening" of the phenyl unit.<sup>15</sup> Provide the concept can be also applied to homotrioxacalix[3]arenes, the phenyl units in cone-1Bu3 are conside flattened in the absence of metal cations whereas they stand up to include  $K^+$  in the cavity. We con however, that this assumption should be further confirmed by the X-ray studies and/or the computa studies. Since the  $\delta$  values for the ArCH<sub>2</sub>O protons are strongly affected by the conformational change difficult to judge if the ArCH<sub>2</sub>O oxygens contribute to the  $K^+$  binding.

 $H_{ax}$  and  $H_{eq}$  denote the ArCH<sub>2</sub>O methylene protons located near to and far from the benzene respectively.

Calixarene	Extractability (Ex%)						
	Li <sup>+</sup>	Na <sup>+</sup>	K+	$Cs+$	$n$ -BuNH3 <sup>+</sup>		
1Et3	1.0	14.0	49.6	36.7	56.4		
$Cone-1Bu3$	0.0	5.7	58.8	35.0	82.0		
Partial-cone-1Bu3	0.0	11.9	34.9	23.6	31.5		

**Table 4.** Percent extraction of alkali and ammonium picrates in CH<sub>2</sub>Cl<sub>2</sub> at 25  $^{\circ}$ C<sup>a</sup>

a Organic phase (CH<sub>2</sub>Cl<sub>2</sub>,5 ml) contains 1R<sub>3</sub> (2.5 mM for alkali picrates and 3.5 mM for n-BuNH<sub>3</sub><sup>+</sup> picrate). Aqueous phase (5 ml) contains  $M^+$ Pic<sup>-</sup> (0.25 mM), MOH (0.10 M), and MCl (0.50 M) for alkali picrates and n-BuNH<sub>3</sub><sup>+</sup>Pic<sup>-</sup> (7.0 X 10<sup>-5</sup> M) for  $n$ -BuNH<sub>3</sub><sup>+</sup>.

In the partial-cone-1Bu<sub>3</sub>\*K<sup>+</sup> complex, the ArOCH<sub>2</sub> protons in the two ordinary phenyl units shift to lower magnetic field (by 0.24 ppm) whereas those in the one inverted phenyl unit shift to higher magnetic field (by 0.54 ppm). This suggests that  $K^+$  ion is mainly bound to the ArO oxygens in the two ordinary phenyl units and the n-butyl group in the inverted phenyl unit rotates into the cavity. Probably, this rotation is induced by steric repulsion between bound  $K^+$  ion and the *tert*-butyl group in the inverted phenyl unit. In this complex the splitting pattern for the ArCH20 methylene protons is very complicated (basically, three pairs of doublets should appear) and the signals are partially overlapped with those for the ArOCH2 methylenes. Hence, it is difficult to obtain useful information from the  $\delta$  values for the ArCH<sub>2</sub>O protons.



**Figure 5.** Chemical shift changes induced in the presence of K<sup>+</sup>Pic<sup>-</sup>: 250 MHz, CDCl3:CD3OD = 1:1  $v/v$ , 25  $^{\circ}$ C, [1Bu<sub>3</sub>] = 3.5 mM, [K<sup>+</sup>Pic<sup>-</sup>] = 3.5 mM. + denotes the down-field shift and - denotes the up-field shift.

**Two-Phase Solvent Extraction and** NMR **Spectra of Ammonium Complexes.** It has been established that 18-crown-6 can strongly bind primary ammonium cations  $(RNH3<sup>+</sup>)$ .<sup>17</sup> This is rationalized in terms of stereochemical matching between D3d symmetry in 18-crown-6 and C3 symmetry in RNH3+. More recently, Chang et al.<sup>18</sup> found that calix[6]aryl acetates with C<sub>6</sub> symmetry can bind RNH<sub>3</sub><sup>+</sup> whereas the binding to calix[4]aryl acetates occurs only weakly. The finding is rationalized on the same basis. It occurred to us that  $IR3$  (particularly, those with a cone conformation) with (averaged) C3 symmetry might serve as an efficient receptor for RNH<sub>3</sub><sup>+</sup>. As shown in Table 4, cone-1Bu<sub>3</sub> extracts n-BuNH<sub>3</sub><sup>+</sup> very efficiently whereas partial-cone-1Bu3 does it slightly. Conformationally-mobile 1Et3 also binds n-BuNH3<sup>+</sup> although the Ex% is somewhat lower than that of cone-1Bu3. The <sup>1</sup>H NMR examination of the 1Et3  $\cdot$ n-BuNH<sub>3</sub>+ complex established that 1Et3 in the complex takes a cone conformation, indicating the importance of the three oxygens arranged in (averaged) C3 symmetry. In such a sense, cone-1Bu3 possesses an ideal ionophoric cavity preorganized in (averaged) C<sub>3</sub> symmetry for the binding of  $n$ -BuNH<sub>3</sub><sup>+</sup>.

Figure 6 shows chemical shift changes induced in the presence of **n-BuNH3+. It** is seen from **Figure 6**  that in cone-1Bu3 the changes induced by n-BuNH<sub>3</sub><sup>+</sup> are very similar to those induced by  $K^+$  (Figure 5). One can thus conclude that three hydrogen bonds are formed with three ArO oxygens arranged in (averaged)  $C_3$ symmetry and three phenyl units stand up when the cavity includes  $n$ -BuNH $3^+$ . In partial-cone-1Bu3 the downfield shift of ArOCH<sub>2</sub> protons in the ordinary phenyl units is also observed but the  $\delta$  value of the ArOCH<sub>2</sub> protons in the inverted phenyl unit scarcely changes. Conceivably, two hydrogen bonds are formed with the two ArO oxygens and the steric crowding induced by the n-BuNH $3<sup>+</sup>$  is not so significant as to rotate the inverted phenyl unit.



Figure 6. Chemical shift changes induced in the presence of  $n$ -BuNH<sub>3</sub>+Pic<sup>-</sup>: 250 MHz, CDCl<sub>3</sub>:CD<sub>3</sub>CN = 1:1 v/v, 25 °C,  $[1Bu3] = 3.5 mM$ ,  $[n-BuNH3+Pic^-] = 3.5 mM$ . + denotes the down-field shift and - denotes the up-field shift.

#### **Concluding Remarks**

The molecular design of artificial receptors from calix[n]arenes has recently become a very active area of endeavor. In contrast, homotrioxacalix[3]arene has been left unutilized. This is probably because of the flexibility of the ring. In this paper we have demonstrated conformational equilibria, inhibition of

interconversion between conformers, ion selectivity,  $etc.$  The results consistently suggest that homotrioxacalix[3]arene is a useful basic skeleton for the design of artificial receptors, particularly those with (averaged) C3 symmetry. We believe that one can realize the various metal selectivities and even chiral recognition of ammonium cations by skillful modification of homotrioxacalix[3]arene. Our next research target is the design of super-uranophiles<sup>19-22</sup> from homotrioxacalix<sup>[3]</sup>arene.

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